

ACTION

AGENDA ITEM: CLSA Statewide Data Base Program

ISSUES TO COME BEFORE THE BOARD AT THIS MEETING:

1. Consider a one-time increase in the amount of the CLSA Statewide Data Base Z39.50 subsidy to public libraries.
2. Consider a one-time increase in the amount of the CLSA Statewide Data Base regional resource sharing server subsidy to CLSA cooperative library systems.

RECOMMENDED MOTION FOR CONSIDERATION BY THE BOARD:

I move that the Library of California Board approve the allocation of 2000/01 funds for a one-time increase in the CLSA Statewide Data Base subsidy to public libraries for ongoing costs of sharing resources via Z39.50 from \$500 to \$796 per library for the 2000/01 fiscal year, for those libraries that have claimed the subsidy.

RECOMMENDED MOTION FOR CONSIDERATION BY THE BOARD:

I move that the Library of California Board approve the allocation of 2000/01 funds for a one-time increase in the CLSA Statewide Data Base subsidy to CLSA cooperative systems for the ongoing operating costs of providing a regional resource sharing server from \$5000 to \$7900 per system for the 2000/01 fiscal year, for those systems that have claimed the subsidy.

ISSUES 1 & 2: Consideration of a one-time increase in CLSA Statewide Data Base subsidies in fiscal year 2000/01.

As explained in the program update below, there is a balance of \$53,212 in the CLSA Statewide Data Base program out of the original \$275,000, after all subsidies and grants have been funded. For the past three years the Board has followed the subsidy and grant funding recommendations proposed by Joan Frye Williams in her report, *A Study of Linked Systems with Recommendations for the Future of the CLSA Statewide Data Base*. In recent conversations with Ms. Williams, staff confirmed that an individual library or system's cost was dependent on the particular vendor selected to provide services, and that the amounts of \$500 for the public library Z39.50 subsidy and \$5000 for the CLSA

system server subsidy were attempts to recommend a fair average out of the wide range of vendor costs associated with these resource sharing tools.

In addition, Ms. Williams noted that the proposed subsidy allotments did not include the training and online skills development necessary to understand, develop, and maintain the complex technology associated with this program. For example, it will be important for staff supporting Z39.50 access to their library catalogs to become knowledgeable about the U.S. National Profile for Z39.50 that is in development by the National Information Standards Organization (NISO). The U.S. National Profile will provide detailed specifications for how to use Z39.50 in library applications, with the intent to improve cross catalog searching of Z39.50 accessible library catalogs by providing consistency in the implementation and configuration of Z39.50 clients and servers.

Based on these factors, staff considered using the remaining available funds in the Statewide Data Base program to supplement the subsidies awarded to the 72 public libraries and 11 CLSA systems that submitted claims during this fiscal year. The \$65 subsidy to public libraries for providing OCLC access, which is not included in the recommendations to supplement the \$500 and \$5000 subsidies nor in the computation used to develop dollar figures for the supplements, represents an annual reimbursement for the actual cost of obtaining access, and accounted for only 3% of the total funds awarded for subsidies in FY 2000/01. Of the total amount of dollars paid for subsidy claims, approximately 40% were for the \$500 Z39.50 subsidy and 60% were for the \$5000 system server subsidy. Staff applied these two percentages to the remaining fund balance in the program, and then divided the amounts by the number of libraries/systems that had claimed the subsidies. The results allowed an additional \$296 for the public library Z39.50 subsidy and an additional \$2900 for the system server subsidy.

Staff recommends that the remaining 2000/01 funds in the CLSA Statewide Data Base Program be used to supplement the Z39.50 and server subsidies as explained above. Realistically, there is not enough time remaining in the 2000/01 fiscal year to develop another program which could legitimately use the remaining funds. Any dollars not spent will be returned to the state general fund.

Staff expects to bring recommendations regarding the disposition of Statewide Data Base funds in 2001/02 for the Board's consideration at its June meeting, including whether subsidy and grant amounts should be permanently increased, and if staff should take a more proactive role in targeting and assisting libraries that have not yet converted catalog records to machine-readable form.

GENERAL OVERALL PROGRAM UPDATES:

CURRENT STATUS: The CLSA Statewide Data Base Program continues to support resource sharing throughout the State.

2000/01 subsidy and one-time grants

At its June 2000 meeting the Board approved the allocation of 2000/01 funds for payment of CLSA Statewide Data Base annual subsidies in the amounts of \$65, \$500, and \$5,000, as recommended in the implementation plan. Shortly thereafter staff mailed subsidy claim information to California public libraries and CLSA System Coordinators with a deadline of August 31, 2000 to return the claims to the State Library.

The total amounts claimed in the three subsidy categories are:

	1999/2000		2000/2001	
Public libraries qualified for \$65 subsidy	58	\$ 3,770	44	\$ 2,860
Public libraries qualified for \$500 subsidy	69	\$34,500	72	\$36,000
CLSA Systems qualified for \$5,000 subsidy	11	\$55,000	11	\$55,000
Total subsidy claims:	138	\$93,270	127	\$93,860

The Board further approved (June, 2000) that the remaining Statewide Data Base funds not required for annual subsidy reimbursement be used for targeted one-time grants of \$10,000 for retrospective conversion of the catalog records of California public libraries to machine readable form, so that those records can be made accessible for resource sharing. Five libraries submitted and qualified for conversion grants in the 2000/01 fiscal year.

With \$131,140 of the \$275,000 appropriation still left unspent in the Statewide Data Base Program after awarding annual subsidies and conversion grants, the Board further approved (November 2000) a new program application process. Public libraries may apply for a matching grant (50% CLSA, 50% local) of up to \$10,000 to enhance or enrich their catalog records by adding additional information to the record that will increase access to and information about the material represented by the catalog record. Ten public libraries applied for and received grants in various funding amounts totaling \$77,916.50.

Exhibit A contains a chart illustrating the disposition of the Data Base funds in FY 2000/01. 68% of California's public libraries received Statewide Data Base funding, either by subsidy reimbursement or one-time grants, in fiscal year 2000/01. CLSA Statewide Data Base funds remaining in the 2000/01 appropriation total \$53,223.50.

Contributions to OCLC

The CLSA Statewide Data Base Program continues to support resource sharing throughout the State. The report of contributions of records and holdings made to OCLC did not arrive in time to include in this Board packet, therefore, staff will provide an update on holdings at the June 2001 meeting.

TRANSITION PROCESS: Staff requests guidance from the Library of California Board in preparing a plan for the transition of this program to the Library of California for Board consideration. It appears that funding for the CLSA Statewide Data Base Program supports objectives included in the stated intent of the Telecommunications mandatory program element of the Library of California, and therefore that program element would be the most likely target area for transitioning this particular CLSA program into the multitype network of the Library of California. The Library of California Training and Continuing Education mandatory program element may also be a target area.

RELATED ISSUES TO COME BEFORE THE BOARD IN THE FUTURE:

1. Consideration of funds for payment of annual subsidies and targeted one-time grants (June).
2. Update on OCLC California public library holdings (August).

Relevant Committee: Support Services
Staff Liaison: Tom Andersen

2000-2001 CLSA Statewide Data Base Subsidy Claims and Grants

<i>Library/Systems</i>	<i>Subsidy Claims</i>			\$10,000 Conversion Grant	Up to \$10,000 Enrichment Grant
	\$65	\$500	\$5,000		
Alameda County Library		XX			
Alameda Free Library	XX				
Alhambra Public Library		XX			
Alpine County Library					
Altadena Library District		XX			
Amador County Library	XX				
Anaheim Public Library	XX				
Arcadia Public Library	XX				
Auburn-Placer County Library		XX			
Azusa City Library					
Bay Area Library & Information System			XX		
Beaumont Library District		XX			
Belevedere-Tiburon Library		XX			
Benicia Public Library		XX			
Berkeley Public Library					
Beverly Hills Public Library		XX			
Black Gold Cooperative Library System			XX		
Buena Park Library District	XX				
Burbank Public Library	XX				
Burlingame Public Library		XX			
Butte County Library	XX				
Calabasas Public Library		XX			
Calaveras County Library	XX				
(Carmel) Harrison Memorial Library					
Chula Vista Public Library		XX			\$7,437.50
Coalinga-Huron District Library		XX			
Colton Public Library	XX				
Colusa County Library	XX				
City of Commerce Public Library	XX				
Contra Costa County Library					\$10,000
Corona Public Library	XX				
Covina Public Library		XX			\$1,010
Daly City Public Library		XX			
Del Norte County Library District	XX				
Dixon Public Library		XX			
El Centro Public Library					
El Dorado County Library		XX			\$5,192
El Segundo Public Library	XX				
Escondido Public Library		XX			\$9,328
Folsom Public Library	XX				
49-99 Cooperative Library System			XX		
Fresno County Library		XX			

<i>Library/Systems</i>	<i>Subsidy Claims</i>			\$10,000 Conversion Grant	Up to \$10,000 Enrichment Grant
	\$65	\$500	\$5,000		
Fullerton Public Library					
Glendale Public Library		XX			
Glendora Library					
Hayward Public Library	XX				
Hemet Public Library		XX			
Humboldt County Library				XX	
Huntington Beach Library					
Imperial County Free Library					
Inland Library System	XX				
Inyo County Free Library	XX				
Kern County Library		XX		XX	
Kings County Library		XX			
Larkspur Public Library				XX	
Lincoln Public Library				XX	
Livermore Public Library					
Lodi Public Library		XX			
Lompoc Public Library		XX			
Long Beach Public Library		XX			
Los Angeles Public Library					
County of Los Angeles Public Library		XX			
Madera County Library		XX			
Marin County Library		XX			
Mariposa County Library		XX			
Mendocino County Library		XX			
Menlo Park Public Library		XX			
Merced County Library					
Metropolitan Cooperative Library System			XX		
Mill Valley Public Library		XX			
Mission Viejo Library		XX			\$5,974
Modoc County Library	XX				
Mono County Free Library	XX				
Monrovia Public Library	XX				
Monterey Bay Area Cooperative Library Sys.			XX		
Monterey County Free Libraries		XX			
(Monterey Park) Bruggemeyer Mem. Library		XX			
Monterey Public Library		XX			
Mountain Valley Library System			XX		
Mountain View Public Library					
Napa City-County Library		XX			
National City Public Library		XX			
Nevada County Library	XX				
Newport Beach Public Library					
North Bay Cooperative Library System			XX		
North State Cooperative Library System	XX				
Oakland Public Library					
Oceanside Public Library	XX				

<i>Library/Systems</i>	<i>Subsidy Claims</i>			\$10,000 Conversion Grant	Up to \$10,000 Enrichment Grant
	\$65	\$500	\$5,000		
Ontario City Library	XX				
Orange County Public Library					
Orange Public Library		XX			
Orland Free Library	XX				
Oxnard Public Library				XX	\$10,000
Pacific Grove Public Library		XX			
Palm Springs Public Library	XX				
Palmdale City Library					
Palo Alto City Library		XX			
Palos Verdes Library District		XX			
Pasadena Public Library		XX			
Paso Robles Public Library		XX			
Peninsula Library System			XX		
Placentia Library District	XX				
Plumas County Library	XX				
Porterville Public Library		XX			
Rancho Mirage Public Library		XX			
Redondo Beach Public Library					
Redwood City Public Library					
Richmond Public Library					
Riverside County Library System		XX			
Riverside Public Library	XX				
Roseville Public Library		XX			
Sacramento Public Library					
Salinas Public Library					
San Anselmo Public Library		XX			
San Benito County Library	XX				
San Bernardino County Library					
San Bernardino Public Library	XX				\$10,000
San Bruno Public Library		XX			
San Diego County Library					
San Diego Public Library					
San Francisco Public Library		XX			
San Joaquin Valley Library System			XX		
San Jose Public Library					
SanJuan Bautista Library					
San Leandro Public Library					
San Luis Obispo City-County Library		XX			
San Marino Public Library					
San Mateo County Library		XX			
San Mateo Public Library		XX			
San Rafael Public Library		XX			
Santa Ana Public Library					
Santa Barbara Public Library		XX			
Santa Clara County Library		XX			
Santa Clara Public Library		XX			

<i>Library/Systems</i>	<i>Subsidy Claims</i>			\$10,000 Conversion Grant	Up to \$10,000 Enrichment Grant
	\$65	\$500	\$5,000		
Santa Cruz Public Library		XX			
Santa Fe Springs City Library					
Santa Maria Public Library		XX			
Santa Monica Public Library	XX				
(Santa Paula) Blanchard Comm. Library		XX			
Santiago Library System			XX		
Sausalito Public Library		XX			
Serra Cooperative Library System	XX				
Shasta County Library	XX				\$10,000
Sierra Madre Public Library	XX				
Silicon Valley Library System			XX		
Siskiyou County Library	XX				
Solano County Library		XX			
Sonoma County Library		XX			
South Pasadena Public Library		XX			
South San Francisco Public Library		XX			
St. Helena Public Library		XX			
Stanislaus County Library					
Stockton-San Joaquin County Pub. Library		XX			
Sunnyvale Public Library					
Susanville Public Library	XX				
Sutter County Library	XX				
Tehama County Library	XX				
Thousand Oaks Library					
Trinity County Library	XX				
Tulare County Free Library		XX			
Tulare Public Library		XX			
Tuolumne County Free Library		XX			
Upland Public Library		XX			
Ventura County Library					
Watsonville Public Library					\$8,975
Whittier Public Library					
Willows Public Library	XX				
Woodland Public Library					
Yolo County Library	XX				
Yuba County Library	XX				
Award Amount	\$65	\$500	\$5,000	\$10,000	
Number of Awards	44	72	11	5	10
TOTAL	\$2,860	\$36,000	\$55,000	\$50,000	\$77,916.50
Percent of public libraries claiming subsidy reimbursement	25%	40%			

<i>Library/Systems</i>	<i>Subsidy Claims</i>			\$10,000 Conversion Grant	Up to \$10,000 Enrichment Grant
	\$65	\$500	\$5,000		

File: \\manage\doc974_1\statewidedatabasesubsidylist2000-01
updated 4/2001